

STATEMENT OF REGISTRATION INSTRUCTIONS

1. Complete all items. If "none" applies to an item, so state. If more space is required to complete an item, use plain white paper.
2. **Item 1.** Show the business name, home office address and telephone number of the parent company, as it appears on federal income tax forms.
3. **Item 2.** If you have been in the past, or are currently registered with the Directorate of Defense Trade Controls (PM/DDTC), give your PM/DDTC registrant code number.
4. **Item 3.** Circle the number of years required and enter the amount of fee enclosed. Fee schedule:

1 year	\$1,750
2 years	\$3,500

You are encouraged to register for the maximum period of two years. **Do Not Send Cash.** Your check or money order must be in U.S. currency, and checks must be payable through a U.S. financial institution. Make your check or money order payable to "U.S. Department of State." Use one of the addresses provided below.
5. **Item 4.** Give the most applicable organizational description.
6. **Item 5.** Indicate the nature of your business.
7. **Item 6.** Enter the date (*mm-dd-yyyy*), city, county and state where your organization commenced doing business.
8. **Item 7.** Enter the name, title, date (*mm-dd-yyyy*) and place of birth (*city & state*), social security number, residential address, and country of citizenship for all directors, officers, partners and owners.
9. **Item 8.** Enter U.S. Munitions List (*USML*) category (*22 CFR 121*), and U.S. Government agency (*if applicable*) for which manufactured.
10. **Item 9.** List U.S. subsidiaries, wholly or partially owned by registrant, manufacturing and/or exporting USML articles or services.
11. **Item 10.** List foreign subsidiaries, wholly or partially owned by registrant, that manufacture, export, and/or broker USML articles, technical data or services.
12. **Item 11.** Give complete name, address, and telephone number of parent company.
13. **Item 12.** Is the registrant owned and/or controlled by foreign person(s)? See 22 CFR 122.2(c), for definition of ownership or control.
14. **Item 13.** Company or corporate divisions or subsidiaries may not register separately unless they are required by law to file separate Federal tax returns.
15. **Item 14.** The individual signing this form must be a senior official empowered by the intending registrant. Violations and penalties are explained in 22 CFR 127.
16. PM/DDTC will acknowledge in writing receipt of your application and fee, and assign a new registrant code number.
17. **IMPORTANT:** Changes in the information contained in this application by law must be reported promptly to (see 22 CFR 122.4):

Postal Mailing Address:

PM/DDTC, SA-1, 12th Floor
Directorate of Defense Trade Controls
ATTENTION: REGISTRATION
Bureau of Political Military Affairs
U.S. Department of State
Washington, DC 20522-0112

Express Mail and Courier

Delivery Services:

U.S. Department of State
PM/DDTC, SA-1, 12th Floor
ATTENTION: REGISTRATION
2401 E Street, NW
Washington, DC 20037

PRIVACY ACT AND PAPERWORK REDUCTION ACT STATEMENTS

AUTHORITIES: U.S. Department of State's authorities to register persons engaged in the business of manufacturing, exporting, or importing any defense article or defense service are 22 U.S.C. 2778 (b) (1) (A) (i) and 22 CFR Part 122. The authorities to register brokers are 22 U.S.C. 2778 (b) (1) (A) (ii) (I) and 22 CFR 129.3 and 129.4

PURPOSE: The purpose of registration is to provide the U.S. Government with necessary information on individuals and entities engaged in certain manufacturing, exporting and brokering activities.

ROUTINE USES: The information solicited on this form is made available as a routine use to appropriate agencies whether Federal, State, local or foreign, for intelligence, law enforcement and administrative purposes or pursuant to a court order. It may also be used to send required reports to Congress about certain defense trade transactions.

SOCIAL SECURITY NUMBER: Disclosure of the social security number(s) is voluntary and for the purpose of facilitating coordination with the Department of Treasury to review the registration statement for law enforcement concerns in accord with 22 U.S.C. 2778 (b) (1) (B). Refusal to provide requested social security number, by itself, will not result in registration being denied, but may result in delays in the processing of a registration request.

*Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: U.S. Department of State (A/RPS/DIR) Washington, DC 20520.



U.S. Department of State

STATEMENT OF REGISTRATION(SEE INSTRUCTIONS PAGE)
(Attach additional sheet if necessary)OMB APPROVAL NO. 1405-0002
EXPIRATION DATE: 09/30/2008
*ESTIMATED BURDEN: 2 Hours
(See page 2)

PM/DDTC Date Received (mm-dd-yyyy)

New Registrant Code

1. Registrant's Name and Address

2. Current Registrant Code

3.

_____ Enclosed For 1 2 (Circle One) Year(s) Registration

4. Registrant Is: ☐ Individual ☐ Partnership ☐ Company ☐ Corporation

5. Registrant Is:

☐ Manufacturer☐ Exporter of Hardware/Technical Data☐ Exporter of Defense Service☐ Broker

6. Incorporation or Commencement of Business: Date (mm-dd-yyyy) _____

In _____
City, County, and State

Telephone Number

7. Directors, Officers, Partners, Owners:

Name (Last, First, MI.)

Position

Date of Birth
(mm-dd-yyyy)

Place of Birth

Social Security
Number

Home Address

Citizenship

Name (Last, First, MI.)	Position	Date of Birth (mm-dd-yyyy)	Place of Birth	Social Security Number	Home Address	Citizenship
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

8. U.S. Munitions List Articles Manufactured and/or Exported, or Defense Services Provided:

Category

Commodity/Service

Purchasing U.S. Government Agency (If Any)

9. Names and Addresses of Registrant's Wholly- and Partially-Owned U.S. Subsidiaries:

☐ Yes (Specify) ☐ No

10. Names and Addresses of Registrant's Wholly- and Partially-Owned Foreign Subsidiaries:

☐ Yes (Specify) ☐ No

11. Name, Address and Telephone Numbers of Registrant's Parent Company (if any)

12. Is The Registrant ☐ Owned ☐ And/Or Controlled
By Foreign Persons (22 CFR 122.2(c)) ☐ Yes (Specify) ☐ No

13. Does Registrant Submit Federal Income Tax Forms Separately From Company In Block 11?

☐ Yes☐ No

14. Registrant's Statement:

Under Penalty According To Federal Law (see 22 CFR 127; 22 U.S.C. 2778; 18 U.S.C. 1001)

I, _____ Warrant The Truth of All Statements Made Herein
Type Full Name

Signature

Date (mm-dd-yyyy)

Title/Position